Post-Orthodontic Retention: How Much Do People Know and How Do They Decide on Future Orthodontic Treatment and What Do They Expect? A Questionnaire-Based Survey
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**Abstract**

**Objective:** This study aimed to explore the level of knowledge and expectations people deciding on a future orthodontic treatment have about post-orthodontic retention and to investigate the influence of sociocultural characteristics. **Material and methods:** A total of 134 consecutive people involved as legal decision maker (as patient, parent, or legal guardian) for a prospective orthodontic treatment received before their first appointment a questionnaire to assess their knowledge and expectations about post-orthodontic retention. Data were analysed descriptively, where as predictors were identified with logistic regressions at P value of less than or equal to 0.05. **Results:** A total of 134 questionnaires were distributed to prospective orthodontic patients or their parents/legal guardian. The mean age of the 134 participants was <30 years (82.1%). The majority were male (70.1 per cent), of Indian nationality, had a close family member with previous experience of orthodontic treatment (72.4 per cent) and reached out for an orthodontic consultation from their own initiative or self motivated (72.9 per cent). The participants’ level of knowledge on post-orthodontic retention, half of the participants (82.1 per cent) were aware that retention appliances are used after orthodontic treatment. Finally, the majority believed both that a perfect orthodontic result can guarantee the results’ stability (56.7 per cent) and that teeth can also move on their own without any orthodontic appliances (63.4 per cent). As far as expectations of the participants toward orthodontic retention are concerned, only 45.5 per cent thought that the retention phase should be less than 1 year, 37.3 per cent thought that it should last between 1 and 3 years, and the remaining 9.7 per cent believed it should extend more than 3–10 years or lifelong. The vast majority of participants (19.4 per cent) rated the stability of the orthodontic results as ‘rather important’ or ‘extremely important’, whereas most of them (45.5 per cent) preferred a bonded retainer over a removable retention appliance. Most participants considered recalls were needed at 3 months’ intervals (45.5 per cent) or once a year (9.7 per cent). The majority considered that the person most responsible for post-orthodontic stability was the orthodontist, followed by themselves, and finally the general dentist (with percentages being 67.9 per cent). Finally, the vast majority of the participants agreed that it is appropriate to charge fees for recall visits needed during orthodontic retention (74.6 per cent). **Conclusions:** Although stability of orthodontic treatment results is very important to people deciding about a prospective orthodontic treatment, knowledge regarding the need for post-orthodontic retention varies and may at times be contradictory. Sociocultural factors seem to influence the level of knowledge and the expectations on post-orthodontic retention.

**Keywords:** post-orthodontic retention, self motivated, Orthodontic Treatment, knowledge.

**INTRODUCTION**

The significance of retaining tooth alignment after orthodontic treatment to prevent relapse was identified as early as 1904 and its clinical importance has been emphasized since the 1980s–1990s. Although it has become an undisputed fact that orthodontic patients are in need of some type of physical retention of the achieved tooth movement after completion of treatment, orthodontists still debate about the benefits and drawbacks of different retention appliances and protocols [1-9].

The seeming paucity of evidence regarding prospective patients’ awareness of post-orthodontic...
retention issues is disturbing. The necessity to understand the expectations and assess the level of knowledge of people interested in an orthodontic treatment is accentuated by the fact that post-orthodontic satisfaction is strongly related to the patients’ perception of tooth stability and responsibilities during the retention phase [10-16].

The primary aim of this study was, therefore, to assess the level of knowledge and the expectations concerning post-orthodontic retention of people enrolled for an orthodontic treatment, before their first orthodontic appointment or assessment. The secondary aim was to discern whether demographical and sociocultural characteristics of the participants influence their level of knowledge and their expectations [17-20].

MATERIAL AND METHODS

Study Design and Participants

A self-developed online questionnaire was distributed to patients more than 16 years of age. All participants were briefed about the goal of this survey, its voluntary basis, and its anonymized design. The participants were instructed to fill out the questionnaire silently and independently, without time restriction.

The questionnaire consisted of three sections of closed-ended Queries1. items relating to participant demographical and sociocultural characteristics, 2. items concerning the participant’s knowledge about post-orthodontic retention need, and 3. Items focusing on the participant’s attitude and expectations toward orthodontic retention.

The questionnaire was distributed to all consecutive patients/ decision makers who were about to have their first orthodontic appointment and who had agreed to participate.

Statistical Analysis

Descriptive statistics were calculated for all variables, including means and standard deviations for continuous variables or absolute and relative frequencies for categorical variables. Binary logistic regressions were performed, after checking for possible violations of assumptions, to identify predictors for the response to a handful of selected questions of interest to the patient and/or the orthodontist. Each independent variable was initially added in a univariable model with the question response as dependent variable and all collected participant demographical/ sociocultural characteristics as independent variables. Subsequently, a multivariable model was built and all independent variables with P value of less than or equal to 0.20 from the first model were added to account for confounders.

Patients Responses

Demographic Data
Participants' level of knowledge

7) Are you aware that appliances are used for retention after orthodontic treatment?
134 responses

- Yes: 82.1%
- No: 17.9%
Participants’ expectations in orthodontic retention

10) How long do you think should the retention phase be?
134 responses

11) How important is a stable result for you
134 responses
12) Which type of retention device would you favour
134 responses

- Removable device: 54.5%
- Bonded device: 45.5%

13) At which interval do you believe is a recall necessary
134 responses

- Every 3 month: 41.8%
- Every 6 month: 9.7%
- Yearly: 41.8%
- Every 2nd year: 2.3%
- Every 5th year: 3.8%

14) Who do you consider responsible for the stability after orthodontic treatment?
134 responses

- General dentist: 32.1%
- Orthodontist: 67.9%

15) Do you think it is appropriate to charge for recall visits
134 responses

- Yes: 74.6%
- No: 25.4%
RESULTS

A total of 134 questionnaires were distributed to prospective orthodontic patients or their parents/legal guardian. The mean age of the 134 participants was <30 years (82.1%). The majority were male (70.1 per cent), of Indian nationality, had a close family member with previous experience of orthodontic treatment (72.4 per cent), and reached out for an orthodontic consultation from their own initiative or self motivated (72.9 per cent). The participants’ level of knowledge on post-orthodontic retention. half of the participants (82.1 per cent) were aware that retention appliances are used after orthodontic treatment. Finally, the majority believed both that a perfect orthodontic result can guarantee the results’ stability (56.7 per cent) and that teeth can also move on their own without any orthodontic appliances (63.4 per cent).

As far as expectations of the participants toward orthodontic retention are concerned, only 45.5 per cent thought that the retention phase should last less than 1 year, 37.3 per cent thought that it should last between 1 and 3 years, and the remaining 9.7 per cent believed it should extend more than 3–10 years or lifespan. The vast majority of participants (91.4 per cent) rated the stability of the orthodontic results as ‘rather important’ or ‘extremely important’, whereas most of them (45.5 per cent) preferred a bonded retainer over a removable retention appliance. Most participants considered recalls were needed at 3 months’ intervals (45.5 per cent) or once a year (9.7 per cent). The majority considered that the person most responsible for post-orthodontic stability was the orthodontist, followed by themselves, and finally the general dentist (with percentages being 67.9 per cent). Finally, the vast majority of the participants agreed that it is appropriate to charge fees for recall visits needed during orthodontic retention (74.6 per cent).

DISCUSSION

This study seems to be the first attempt to empirically address individuals before any orthodontic appointment in order to assess the level of knowledge and the expectations of people deciding about a prospective orthodontic treatment regarding post-orthodontic retention. We considered it to be of high interest to target people who are in charge of deciding about an impending orthodontic treatment involving a prolonged retention protocol, and to identify what they know and what they expect—precisely at the time of their consent to the forthcoming treatment. Although the number of participants and the return rate in this investigation can be considered sufficient to allow statistical tests beyond simple descriptive statistics, it was decided to refrain from a hypothesis-driven approach and remain entirely observational [20–31].

CONCLUSION

This questionnaire-based survey reveals that guaranteeing the result of orthodontic treatment is of great importance to people deciding about a prospective orthodontic treatment. Knowledge regarding possible post-orthodontic relapse and the need for post-orthodontic retention varies and seems to be influenced by sociocultural factors. A certain consensus amongst Swiss prospective orthodontic patients/decision makers seems to exist regarding responsibility, necessity of recalls, the takeover of costs, and preferences in retainer devices. On the basis of the results of this survey, it appears mandatory to adequately inform prospective orthodontic patients and their parents/guardians about the need of post-orthodontic retention, and the extent of future commitment expected from them during the retention phase.

REFERENCES


