

## A Theoretical Study of the Fragmentation Mechanism of Deprotonated Alanine (DFT)

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### Abstract

### Review Article

In this paper, density functional theory (DFT) was applied to study the isomerization process and fragmentation mechanism of deprotonated alanine ions ( $[\beta\text{-Ala-H}]^-$ ) at B3LYP/6-311++G (2df, 2pd) level. Deprotonated  $\alpha$ -alanine ions generally have lower energies than deprotonated  $\beta$ -alanine ions, and **1a2** is the most stable structure. In the isomerization process, the reaction barrier ( $\Delta G^\ddagger$ ) required for hydrogen transfer is the highest, that the pathway of  $\text{NH}_3$ -loss reaction to the product **p3** is the only forward spontaneous reaction in thermo dynamics and  $\text{NH}_3$ -loss reaction is also easier than  $\text{H}_2\text{O}$ -loss reaction in kinetics. Meanwhile, the effect of temperature on the optimal  $\text{H}_2\text{O}$ - and  $\text{NH}_3$ -loss reaction paths of the deprotonated  $\alpha$ - and  $\beta$ -alanine ions were investigated, and the results showed that temperature had little effect on  $\text{H}_2\text{O}$ - and  $\text{NH}_3$ -loss reactions. In addition, different calculation methods and focus analysis of the basis group were investigated, and the results show that B3LYP/6-311++G (2df, 2pd) level is suitable for this study.

**Keywords:** deprotonated alanine ions, reaction mechanism,  $\text{H}_2\text{O}$ -loss reaction,  $\text{NH}_3$ -loss reaction.

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## 1. INTRODUCTION

Alanine is one of the simplest non-essential amino acids [1], which can be divided into  $\alpha$ - and  $\beta$ - because of the position of the amino group on the carbon chain. Among them,  $\alpha$ -alanine exists *D*- and *L*-racemate [2].  $\alpha$ - and  $\beta$ -alanine play an important role in the metabolism of plants and animals [3, 4], and  $\beta$ -alanine plays a role in the regulation of nerve [5] and vision [6]. So far, alanine has been of great significance in the fields of organic synthesis [7, 8], pharmaceutical chemistry [9, 10], medical detection [11], functional beverage [12, 13] and astrochemistry [14, 15].

At the end of the 20<sup>th</sup> century, the gas phase structures of  $\alpha$ - and  $\beta$ -alanine were discovered by Godfrey [16, 17] and McGlone [18], respectively, and their corresponding solutions [19, 20] and crystal [21, 22] structures were in the form of zwitter ions. In 1989, Eckersley [23] *et al*, first used fast atomic bombardment mass spectrometry (FAB-MS/MS) to obtain dehydrated  $[\text{Ala-H-H}_2\text{O}]^-$  and deaminated  $[\text{Ala-H-NH}_3]^-$  fragments of deprotonated alanine ( $[\text{Ala-H}]^-$ ). However, twenty years later, Choi [24] applied atmospheric pressure chemical ionization mass spectrometry (APCI-MS) and failed to dissociate the

corresponding fragments, and the fragmentation mechanism is still unknown.

Based on a series of previous studies on the mechanism of the deprotonated amino acids [25], the geometric configurations of the reactants, intermediates, transition states, product ions and neutral molecules of  $[\text{Ala-H}]^-$  were optimized, the thermodynamic data were obtained by frequency analysis. The potential energy profiles of isomerization,  $\text{H}_2\text{O}$ - and  $\text{NH}_3$ -loss pathways of  $[\text{Ala-H}]^-$  were constructed respectively, and then judge the dominant pathway and the dominant product. In addition, the influence of temperature on  $\text{H}_2\text{O}$ - and  $\text{NH}_3$ -loss pathways of  $[\text{Ala-H}]^-$  is analyzed, and the rationality of the research plan was explored from different levels of focal-point analyze (FPA) [26] in this study. The results will provide some model support for clarifying the fragmentation mechanism of deprotonated amino acid ions.

## 2. COMPUTATIONAL DETAILS

Imparity with  $\beta$ -alanine,  $\beta$ -alanine is not chiral. Therefore,  $[\beta\text{-Ala-H}]^-$  is constructed directly as the investigated target in this study. The geometric configurations of all reactants, intermediates, transition

states, products and dissociated neutral molecules were obtained by using B3LYP method [27] combined with 6-31G base set [28] incorporated in the Gaussian09 program system [29]. After geometric optimization, frequency vibration calculation is carried out to determine the geometrical position is the minimum value (no virtual frequency) or transition state (only one virtual frequency). In addition, we also calculate the thermal correction of the key stability points at the same level in geometric optimization, and obtain their relative enthalpy of reaction and Gibbs free energy of relative reaction with temperature, and the FPA [26] of the rate-determine steps were calculated.

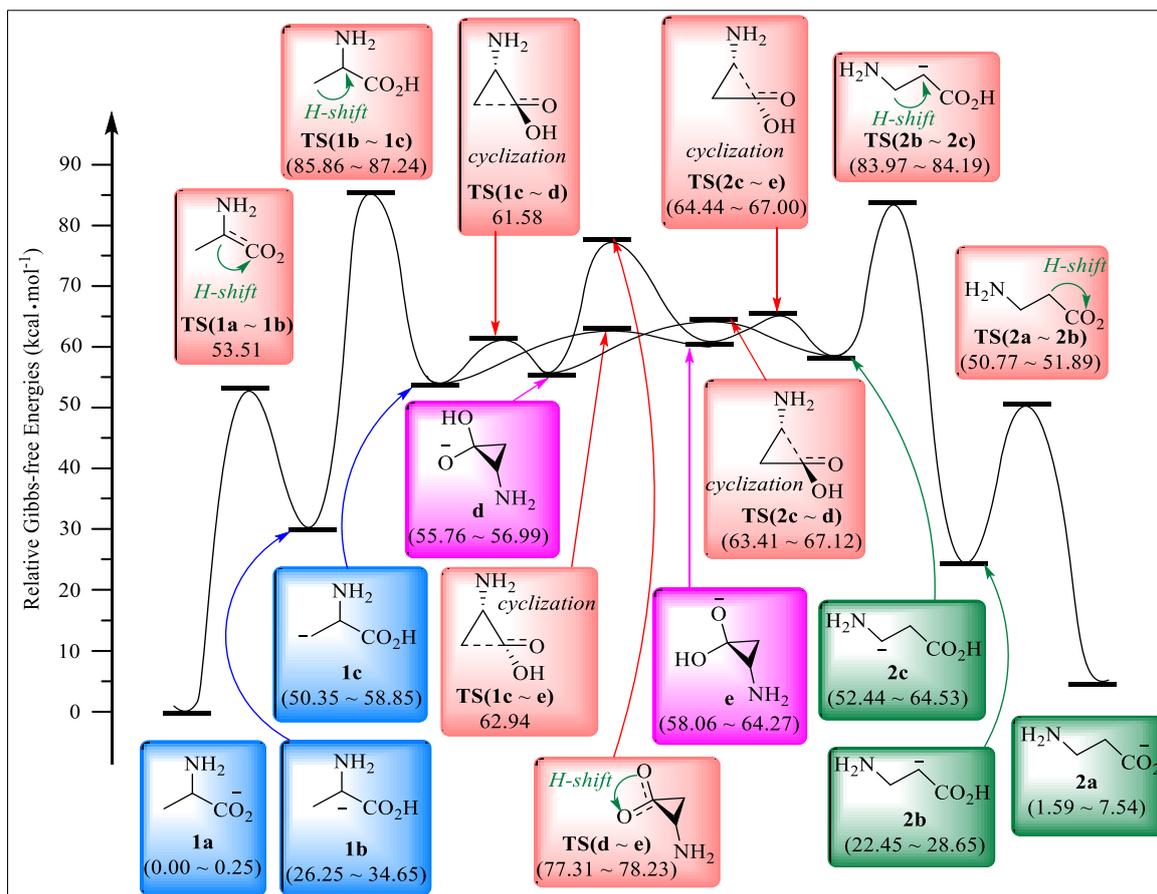
### 3. RESULT AND DISCUSSION

#### 3.1 Isomerization of [Ala-H]<sup>-</sup>

A total of 51 isomers of the deprotonated  $\alpha$ - and  $\beta$ -alanine have been calculated in this study. According to the position of negative charge, whether the molecule is cycloforming or not, and the *cis-trans* isomerism of the cyclo forming molecule, these 51

isomers can be divided into 8 species, among which **1a**, **1b**, **1c** belong to [ $\alpha$ -Ala-H]<sup>-</sup>, **2a**, **2b**, **2c** belong to [ $\beta$ -Ala-H]<sup>-</sup>, **d** and **e** are the “bridge anions” linking the deprotonated  $\alpha$ - and  $\beta$ -alanine ions. All the relative Gibbs free energies (R.G., kcal·mol<sup>-1</sup>) are based on **1a**, the lowest energy of [Ala-H]<sup>-</sup> isomers, as the reference point.

Figure 1 shows the isomerization process of the deprotonated  $\alpha$ - and  $\beta$ -alanine. Among them, **1a** and **2a** negative ions have the lowest energies (R.G., 0.00 ~ 7.54 kcal·mol<sup>-1</sup>), which negative charge position is on CO<sub>2</sub>. The negative ions **1b** and **2b** have higher energies (R.G., 26.25 ~ 34.65 kcal·mol<sup>-1</sup>), which their negative charge positions are on  $\alpha$ -C. The energies of **1c**, **2c**, **d** and **e** are the highest, and the energies of these three species of anions are similar to each other (R.G., 50.35 ~ 64.53 kcal·mol<sup>-1</sup>), which negative charge of species **c** is on  $\beta$ -C, negative charge of species **d**, **e** on oxygen atom, and the two kinds of negative ions are *cis-trans* isomerism.



**Figure 1: Isomerization potential energy profile of [Ala-H]<sup>-</sup> computed at the B3LYP/6-311++G(2df,2pd) level of theory with the temperature of 473.15 K**

As can be seen from this figure, in this six species isomers of [ $\alpha$ -Ala-H]<sup>-</sup> and [ $\beta$ -Ala-H]<sup>-</sup>, Gibbs free energies of **1a** and **1c** are less than **2a** and **2c**, which is consistent with the fact that  $\alpha$ -alanine is more stable than  $\beta$ -alanine in neutral molecules [30]. The energy of **1b** is generally higher than that of **2b**. This is

because when the negative charge is on  $\alpha$ -C, **1b** ion exhibits O=C=C <sub>$\alpha$</sub>  conjugation, while **2b** ion exhibits O=C=C <sub>$\alpha$</sub> =C <sub>$\beta$</sub>  conjugation. The increase of the conjugate region of **2b** leads to lower energy and more stable structure. The energy of isomers from **1a** (**2a**) to

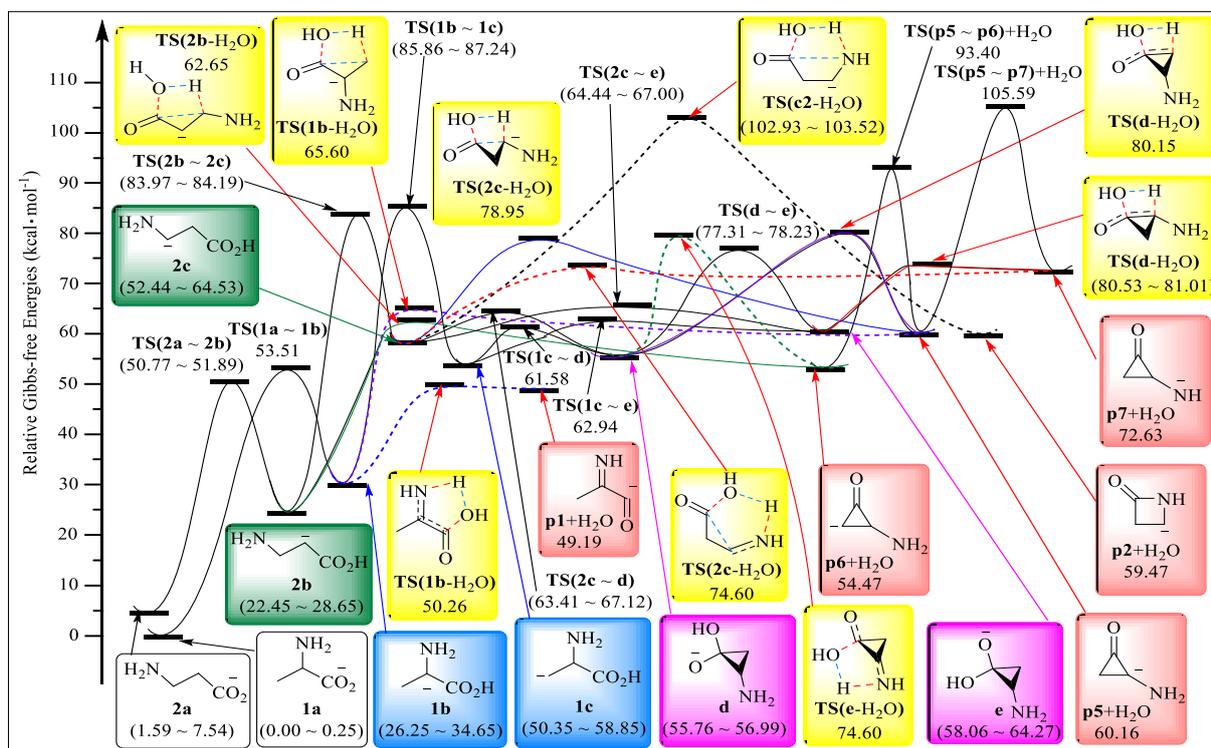
**1b (2b)** to **1c (2c)** increases in a step, indicating that the structure becomes more unstable as the negative charge migrates from the CO<sub>2</sub> region to α-C and then to β-C. When **1c (2c)** isomerize to **d** and **e**, while negative charge by β-C transfer back to the carboxyl, but species **1a (2a)** is CO<sub>2</sub><sup>-</sup> form, negative charge free in between the two oxygen atoms, and with carboxyl carbon atoms form conjugate structure and α-C, and **d** and **e** negative charge is fixed on one atom of oxygen, which makes the **d** and **e** of the energy will not be reduced. The figure only shows the isomerization (hydrogen transfer and ion loop formation) between the categories. The reaction barrier ( $\Delta G^\ddagger$ ) required for single rotation within the categories is generally not high, so it is not described here. From the hydrogen transfer process, it can be seen that the reaction barrier required for hydrogen transfer from **1b (2b)** to **1c (2c)** ( $\Delta G^\ddagger$ , 52.59 ~ 61.52 kcal·mol<sup>-1</sup>) is higher than that from **1a (2a)** to **1b (2b)** ( $\Delta G^\ddagger$ , 50.77 ~ 53.51 kcal·mol<sup>-1</sup>), which indicates that the energy required for hydrogen proton transfer from α-C to oxygen atom of carboxyl is higher than that from β-C to α-C. The energy required for hydrogen transfer between **d** and **e** is the lowest in the research system, which indicates that hydrogen protons on the carboxyl group are more active than hydrogen protons at other positions, which is also an important reason

why CO<sub>2</sub><sup>-</sup> is stable structure generated during amino acid hydrolysis or gas deprotonated dissociation. Isomerization from **1c(2c)** to **d** or **e** is an ion-forming process, and the reaction barrier required is lower than that for hydrogen proton transfer, which indicates that the energy required for electron transfer is lower than that for proton transfer. It can be seen clearly from the barriers of the proton transfer, the barriers of transition state ( $\Delta G_{TS}^\ddagger$ ) of **1a** to **1b** is higher than **2a** to **2b**, the

$\Delta G_{TS}^\ddagger$  of **1b** to **1c** is higher than **2b** to **2c**. This is due to the fact that the amino group is the electron donor group, and α-NH<sub>2</sub> is more efficient at providing electrons, which lowers the energy of the ionic system, making it more energy intensive for proton transfer. When the energy provided by the environment reaches 85.86 kcal·mol<sup>-1</sup>, the deprotonated α- and β-alanine ions can be converted to each other.

### 3.2 H<sub>2</sub>O-loss Reaction of [Ala-H]<sup>-</sup>

The potential energy profile of H<sub>2</sub>O-loss pathways of [Ala-H]<sup>-</sup> were shown in Figure 2. All the energies given in the figure are relative Gibbs free energies (R.G., kcal·mol<sup>-1</sup>) obtained with **1a2** as reference point.



**Figure 2:** Potential energy profile of H<sub>2</sub>O-loss fragmentation reaction computed at the B3LYP/6-311++G (2df,2pd) level of theory with the temperature of 473.15 K

As can be seen from Figure 2, the intermediate **1b** has two ways of H<sub>2</sub>O-loss reaction. In the first case, the hydrogen on α-NH<sub>2</sub> and the hydroxyl group on the carboxyl group approach each other and dehydrate to form **p1**. In the second case, the β-C atom attacks the carboxyl carbon atom, causing the hydrogen atom to

bond with the hydroxyl group and dehydrate to form **p5**. β- C of intermediate **2b** attacks carboxyl carbon, causing -OH group on carboxyl to combine with β-H and dehydrate, and then generate **p6** ion.

There are three dehydration modes of intermediate **2c**. The first mode is similar to the relative dehydration mode of **1b**, in which  $\beta$ -C atom attacks carboxyl carbon atom, causing hydrogen atom to bond with hydroxyl group, and dehydrates into cycle-formed **p5**. In the second way,  $\beta$ -C attacks the carboxyl carbon, causing the hydrogen of  $\beta$ -NH<sub>2</sub> to combine with the hydroxyl group in the carboxyl group and dehydrate to form **p7**. In the third case, the nitrogen from terminal group attacks the carbon atom from carboxyl group, causing the amino H atom to combine with the hydroxyl group on the carboxyl group and dehydrate to form the N-containing quaternary heterocyclic ion **p2**.

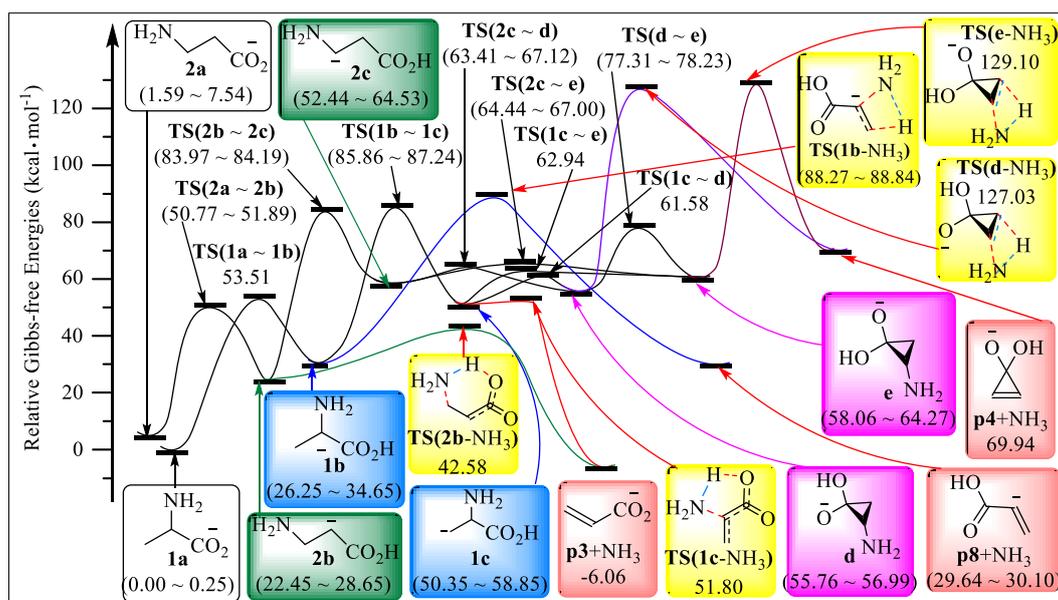
Intermediate **d** can be dehydrated in two ways. First, hydrogen on  $\text{-NH}_2$  combines with  $\text{-OH}$  to dehydrate and produce **p6**. The second is that the hydrogen atoms on CH<sub>2</sub> combine with  $\text{-OH}$  and dehydrate to form **p5**. However, the intermediate **e** has only one way of H<sub>2</sub>O-loss reaction, that is, hydrogen on CH<sub>2</sub> combines with  $\text{-OH}$  to dehydrate and produce **p7**.

In these paths, the ionic energy of all products is higher than that of the initial reactants, indicating that

the H<sub>2</sub>O-loss reaction is positive and non-spontaneous in thermodynamics. According to the dynamics, the path forming N-heterocyclic products has the highest energy (102.93 ~ 103.52 kcal·mol<sup>-1</sup>) in the rate-determining step. The reaction path of product **p1** formed from **1b** is the path of relative advantage in the H<sub>2</sub>O-loss reaction of  $[\alpha\text{-Ala-H}]^-$ , and the product obtained is also the most stable. The reaction path from **2b** to **p6** is the relative dominant path in the H<sub>2</sub>O-loss reaction of  $[\beta\text{-Ala-H}]^-$ . The dominant H<sub>2</sub>O-loss pathway of  $[\alpha\text{-Ala-H}]^-$  is lower than that of  $[\beta\text{-Ala-H}]^-$ , and its product ions are more stable than that of  $[\beta\text{-Ala-H}]^-$ . The product ions **p5**, **p6** and **p7** can be isomerized with each other by proton transfer, but the energy required for isomerization is above 93 kcal·mol<sup>-1</sup>, which makes the isomerization process between the products difficult to realize.

### 3.3 NH<sub>3</sub>-loss Reaction of $[\text{Ala-H}]^-$

The potential energy profile of NH<sub>3</sub>-loss pathways of the deprotonated  $\alpha$ - and  $\beta$ -alanine ions were shown in Figure 3. All the energies given in the figure are relative Gibbs free energies (R.G., kcal·mol<sup>-1</sup>) obtained with **1a2** as reference point.



**Figure 3: Potential energy profile of NH<sub>3</sub>-loss fragmentation reaction computed at the B3LYP/6-311++G (2df,2pd) level of theory with the temperature of 473.15 K**

It can be seen from Figure 3 that there are five modes of NH<sub>3</sub>-loss reaction for  $[\alpha\text{-Ala-H}]^-$  and  $[\beta\text{-Ala-H}]^-$ . Product **p8** and NH<sub>3</sub> can be produced by  $\alpha$ -NH<sub>2</sub> on intermediate **1b** with hydrogen on  $\beta$ -C.  $\beta$ -NH<sub>2</sub> on the intermediate **2b** can be delaminated with hydrogen on  $\beta$ -C to form **p3**, and  $\alpha$ -NH<sub>2</sub> on **1c** can also be delaminated with hydrogen on carboxyl group to form the same product. **d** and **e** share a common NH<sub>3</sub>-loss mode, that is,  $\text{-NH}_2$  combines with hydrogen on  $\text{-CH}_2$  to delaminate, and finally forms **p4**. According to reaction thermodynamics, the generated **p3** product is the only substance whose energy is lower than the initial reactant among all the deamination products.

Therefore, only these two reaction paths are positive spontaneous processes, and the rest are positive non-spontaneous reactions. According to the reaction kinetics, the reaction path **1b** (**2b**) generates **p3** has a lower barrier than other NH<sub>3</sub>-loss pathways, indicating that these two reaction paths are the most advantageous ones in NH<sub>3</sub>-loss reaction of the deprotonated  $\alpha$ - and  $\beta$ -alanine ions, respectively. When the energy provided by the system is higher than 85.86 kcal·mol<sup>-1</sup>, the deprotonated  $\alpha$ - and  $\beta$ -alanine ions can be isomerized with each other, and the reaction path from **2b** to **p3** is the most dominant path in the deamination reaction.

### 3.4 Temperature Dependence of the H<sub>2</sub>O- and NH<sub>3</sub>-Loss Reaction

In order to investigate the influence of temperature on the reaction pathways and products, the reference point **1a2**, the transition states of the rate-determining steps in the reaction pathways and transition states that are close to the energy of the rate-

determining steps, the products after dehydration and deamination, and two neutral molecules, NH<sub>3</sub> and H<sub>2</sub>O, were selected for frequency analysis and calculated the thermodynamic data. The selected temperature range is 273.15 ~ 853.15K, and the interval temperature is 20 K. The results are shown in Figure 4.

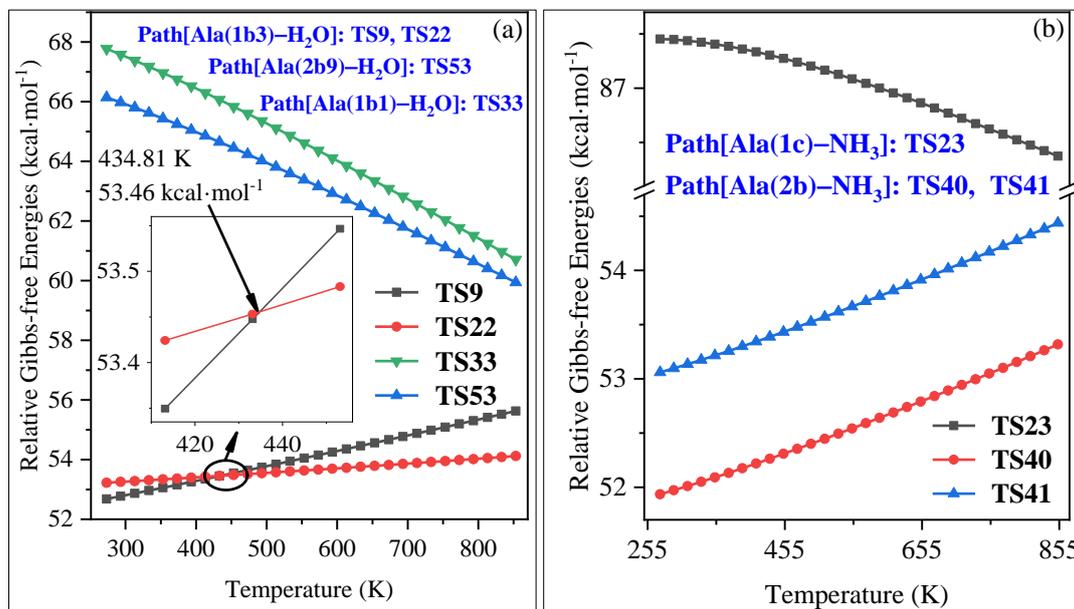


Figure 4: Temperature dependence of the rate-determining steps for the H<sub>2</sub>O- (a) and NH<sub>3</sub>-loss (b) reaction computed at the B3LYP/6-311++G (2df, 2pd) level of theory

It can be seen from Figure 4 that the influence of temperature on H<sub>2</sub>O- (a) and NH<sub>3</sub>-loss (b) reaction of [Ala-H]<sup>-</sup> over the dominant path is basically controlled within 10 kcal·mol<sup>-1</sup>. Figure 4(a) shows the curve of the rate-determining steps of H<sub>2</sub>O-loss reaction path changing with temperature, and Figure 4(b) shows the curve of the rate-determining steps of NH<sub>3</sub>-loss reaction path changing with temperature.

As shown in Figure 4(a), the NH<sub>3</sub>-loss reaction barrier of **2b9** and **1b1** decreases with the increase of temperature, while the barrier of **2b9** is always lower than that of **1b1**. According to kinetics, the NH<sub>3</sub>-loss reaction of **2b9** is easier than that of **1b1**. However, the decisive step of H<sub>2</sub>O-loss reaction of **1b3** is lower than that of **1b1** and **2b9**, indicating that H<sub>2</sub>O-loss reaction of **1b3** is the best dehydration path in the whole kinetics. **TS9** and **TS22** are two possible rate-determination steps for **Path 2** because they have very similar energies. As can be seen from the figure, when the temperature is lower than 434.81 K, **TS22** is the rate-determining step of **Path 2**; when the temperature is higher than 434.81 K, **TS29** becomes the rate-determining step; and the reaction barrier ( $\Delta G^\ddagger$ ) of H<sub>2</sub>O-loss reaction is 53.46 kcal·mol<sup>-1</sup> at 434.81 K.

As shown in Figure 4(b), **TS23** is the most advantageous path for the NH<sub>3</sub>-loss reaction of [ $\alpha$ -Ala-H]<sup>-</sup>, while **TS40** and **TS41** are the two possible

decisive steps in the most advantageous path for the NH<sub>3</sub>-loss reaction of [ $\beta$ -Ala-H]<sup>-</sup>. Among the two NH<sub>3</sub>-loss reaction pathways, **TS23** decreases with the increase of temperature, while the energy of **TS40** and **TS41** increases with the increase of temperature. **TS40** is always the rate-determine step in the most dominant path of NH<sub>3</sub>-loss reaction of [ $\beta$ -Ala-H]<sup>-</sup>, and the NH<sub>3</sub>-loss reaction of [ $\beta$ -Ala-H]<sup>-</sup> is more advantageous than that of [ $\alpha$ -Ala-H]<sup>-</sup>.

In conclusion, with the change of temperature, [ $\alpha$ -Ala-H]<sup>-</sup> has the most dominant chemical kinetic path in the H<sub>2</sub>O-loss reaction of [Ala-H]<sup>-</sup>. In the NH<sub>3</sub>-loss reaction of [Ala-H]<sup>-</sup>, [ $\beta$ -Ala-H]<sup>-</sup> has the most dominant pathway in chemical kinetics.

### 3.5 FPA of the Fragmentation Mechanism of [Ala-H]<sup>-</sup>

Figure 5 shows the FPA of [Ala-H]<sup>-</sup> fragmentation mechanism at different calculation levels with 473.15K. This paper will use BHandHLYP [31], B97D [32], CBS-QB3 [33], G3MP2B3 [34], M062X [32] and MP2 [35] methods to compare with B3LYP calculation method. The methods CBS-QB3, G3MP2B3 and MP2 need to reduce the base set due to high memory consumption, and the calculation is completed under the base group of 6-311++G (d,p) [36], while the other four methods are completed under the base set of 6-311++G (2df,2pd).

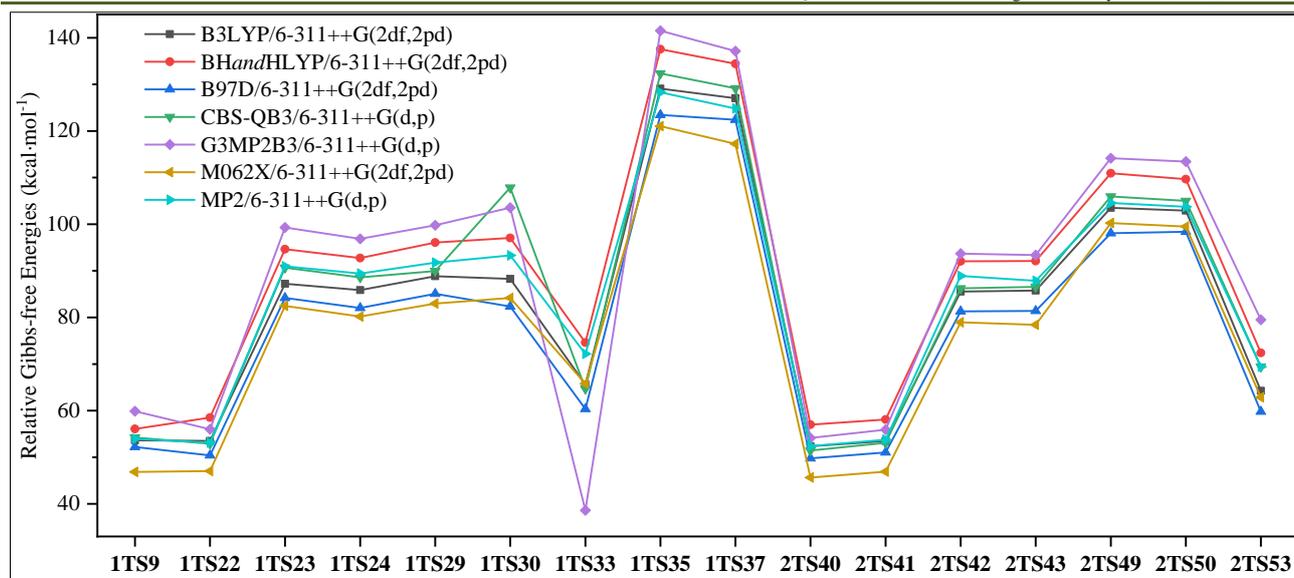


Figure 5: FPA of the fragmentation mechanism of  $[\text{Ala-H}]^-$

As can be seen from Figure 5, the hybrid algorithm G3MP2B3 has a large deviation in calculating the energy of **1TS22** and **1TS33**, while CBS-QB3 has a large deviation in calculating the energy of **1TS30**. According to the trend, the 7 calculation methods including B3LYP have basically the same trend. Only G3MP2B3 and B97D are different from other methods in the energy calculation of **1TS22** and **1TS30**, respectively. B3LYP also has a slight deviation in the calculation of **1TS30**, but the performance is not significant. This shows that the hybrid algorithm G3MP2B3 is not suitable for this system. Among the 7 methods, MP2 is more inclined to calculate the hydrogen bond, while M062X is more inclined to calculate the conjugation system of organic molecules. On the whole, B3LYP/6-311++G(2DF, 2PD) level is more suitable for the calculation of the system.

#### 4. CONCLUSION

The reaction mechanism of  $[\text{Ala-H}]^-$  at B3LYP/6-311++G(2df,2pd) level with the temperature of 473.15 K shows that  $\text{H}_2\text{O}$ - and  $\text{NH}_3$ -loss reaction could be realized when the energy provided by the environment was higher than  $87.24 \text{ kcal}\cdot\text{mol}^{-1}$ . The details are listed below.

- $[\alpha\text{-Ala-H}]^-$  is more stable than  $[\beta\text{-Ala-H}]^-$ . During the isomerization of  $[\text{Ala-H}]^-$ , the  $\Delta G^\ddagger$  required for hydrogen transfer is higher. When the energy provided by the environment reached  $85.86 \text{ kcal}\cdot\text{mol}^{-1}$ , the deprotonated  $\alpha$ - and  $\beta$ -alanine ions could be converted to each other.
- The dominant  $\text{H}_2\text{O}$ -loss pathway of  $[\alpha\text{-Ala-H}]^-$  is from **1b**, and the corresponding product **p1** is also the most dominant product among all the dehydration products. The dominant  $\text{H}_2\text{O}$ -loss pathway of  $[\beta\text{-Ala-H}]^-$  is from **1b**. The dominant path of the  $\text{NH}_3$ -loss reaction of  $[\alpha\text{-Ala-H}]^-$  is splitting  $\text{NH}_3$  from **1b**, and that of  $[\beta\text{-Ala-H}]^-$  is splitting ammonia **2c**, and their corresponding

products are the most dominant product **p3** in deamination products. According to chemical thermodynamics, the reaction path corresponding to deamination product **p3** is the unique reaction that can proceed spontaneously in the forward direction, and **p3** is also the most dominant product in both  $\text{H}_2\text{O}$ - and  $\text{NH}_3$ -loss reactions. However, according to the reaction kinetics, the  $\text{NH}_3$ -loss reaction path starting from **2c** has the lowest  $\Delta G^\ddagger$  and is the most advantageous reaction path among all the reactions.

- The dependence temperature in the range of 273.15 ~ 853.15 K only changed the rate-determining step of the optimal  $\text{H}_2\text{O}$ -loss path of  $[\alpha\text{-Ala-H}]^-$ .
- FPA showed that B3LYP/6-311++G(2df, 2pd) level is suitable for the system

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#### AUTHOR'S BRIEF INTRODUCTION

Quan Sun (1990-), man, Master's Degree, Assistant laboratory Technician, Corresponding author, mainly engaged in theoretical study on the reaction mechanism of substances.

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